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Express Mail No. EL548208US

Doc. No. 22564-7002US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s): Jennifer H. LAI, Vincent E. PHILLIPS, Andrew R. WATSON

Serial No.: Not Yet Assigned

Filing Date: April 30, 2001

Title: "Methods and Compositions for Polynucleotide Analysis Using Generic Capture Sequences"

JC971 U.S. PTO  
09/04/01  
04/30/01

**UTILITY PATENT APPLICATION & FEE TRANSMITTAL**  
(for nonprovisional applications under 37 CFR § 1.53(b))

Assistant Commissioner for Patents

**BOX PATENT APPLICATION**

Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

**I. Elements of the Application**

- Application [ 1 ] page(s) of Cover Sheet  
 [52] page(s) of Specification  
 [19] page(s) of Claims (89 claims)  
 [ 1 ] page(s) of Abstract  
 [15] sheets of Drawings [ ] Formal  Informal  
 Declaration and Power of Attorney [ ] page(s)  
[ ] Unexecuted  Executed  Copy from prior application (37 CFR § 1.63(d))  
 Deletion of Inventors: [ ] page(s) of signed statement deleting inventor(s) (37 CFR § 1.63(d) & 1.33(b))  
 Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
 Nucleotide and/or Amino Acid Sequence Submission:  
[ ] page(s) of Sequence Listing (paper copy)  
[ ] disk(s) containing Sequence Listing (computer readable copy)  
[ ] page(s) of Statement Under 37 CFR 1.821(f)  
 Microfiche Computer Program Appendix \_\_\_\_\_

**II. Claim for U.S. Priority**

- This application is a  Continuation  Divisional  Continuation-in-Part of Prior Application No. \_\_\_\_\_ filed \_\_\_\_\_. (35 USC §120)  
 This application claims the benefit under 35 U.S.C. §119(e) of United States Application Serial No. 60/200,635 filed April 28, 2000. (*Provisional Application*)  
 The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety.

**III. Claim for Foreign Priority**

- This application claims the benefit under 35 USC §119 of Prior Application No. \_\_\_\_\_ filed \_\_\_\_\_.  
 Certified Copy of Priority Document [ ] page(s)  
 English Translation of Priority Document [ ] page(s)

**IV. Accompanying Documents**

- Assignment Papers [ ] page(s) of Recordation Cover Sheet [ ] page(s) of Assignment
- Applicant(s) claims Small Entity Under 37 CFR § 1.27 [ ] Verified Small Entity Statement was previously filed in Prior Application No. \_\_\_\_\_ on \_\_\_\_\_.
- Information Disclosure Statement [ ] page(s) of PTO-1449 [ ] copies of IDS References
- A Preliminary Amendment [ ] page(s)
- A copy of a Petition for Extension of Time ( mos.) filed simultaneously in Prior Application No. \_\_\_\_\_
- A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. \_\_\_\_\_
- Other \_\_\_\_\_ (specify)

- Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)

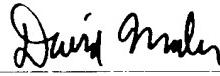
**V. Fee Calculation**

| The following fees are submitted:   |           |                            |                 |          | CALCULATIONS |
|---|-----------|----------------------------|-----------------|----------|--------------|
|   |           | OTHER THAN<br>SMALL ENTITY | SMALL ENTITY \$ |          |              |
| <b>BASIC NATIONAL FILING FEE</b>  |           | \$ 710.00                  | \$ 355.00       | \$355.00 |              |
| <b>EXTRA CLAIMS FEE</b>   |           |                            |                 |          |              |
| CLAIMS  | # FILED   | # EXTRA                    | RATE            | RATE     |              |
| Total Claims  | 89 - 20 = | 69                         | \$18.00         | \$ 9.00  | \$621.00     |
| Independent claims  | 5 - 3 =   | 2                          | \$80.00         | \$40.00  | \$ 80.00     |
| <b>MULTIPLE DEPENDENT CLAIM(S)</b>  |           |                            |                 |          |              |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |           | \$270.00                   | \$135.00        | \$       |              |
| Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).<br>(Numbers of Applications) \$40.00 |           |                            |                 |          | \$           |
| <b>OTHER FEES</b>   | (specify) |                            |                 |          | \$           |
| <b>OTHER FEES</b>   | (specify) |                            |                 |          | \$           |
| <b>TOTAL FEES</b> = \$1,056.00  |           |                            |                 |          |              |

- a  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b  Please charge Deposit Account No. 50-1189, Docket No. 22564-7002US, in the amount of \$1,056.00 to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1189, Docket No. 22564-7002US.  
*A duplicate copy of this sheet is enclosed*

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

4/30/01  
DATE

|                |                     |
|----------------|---------------------|
| David W. Maher | 40,077              |
| NAME           | REGISTRATION NUMBER |